

# Annual Report to Parliament on the Access to Information Act

April 1, 2021 to March 31, 2022

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#### INTRODUCTION

The Office of the Correctional Investigator is pleased to submit to Parliament its Annual Report on the administration of the *Access to Information Act (ATIA)* for the fiscal year commencing on April 1, 2021 and ending March 31, 2022. This report is submitted in accordance with section 94 of the *Act*. This report is tabled in Parliament by the Minister of Public Safety.

The Access to Information Act took effect on July 1, 1983. The ATIA gives Canadian citizens, permanent residents and any person and corporation present in Canada a right of access to information contained in government records, subject to certain specific and limited exceptions.

#### **OUR MANDATE**

The Office of the Correctional Investigator is mandated by Part III of the *Corrections and Conditional Release Act* as an Ombudsman for federal offenders. The primary function of the Office is to investigate and bring resolution to individual offender complaints. The Office as well, has a responsibility to review and make recommendations on the Correctional Service's policies and procedures associated with the areas of individual complaints to ensure that systemic areas of concern are identified and appropriately addressed.

#### **OUR MISSION**

As the ombudsman for federally sentenced offenders, the Office of the Correctional Investigator serves Canadians and contributes to safe, lawful and humane corrections through independent oversight of the Correctional Service of Canada by providing accessible, impartial and timely investigation of individual and systemic concerns. While an independent organization, the Office of the Correctional Investigator is part of the Public Safety and Emergency Preparedness Portfolio.

#### **ACCESS TO INFORMATION ACTIVITIES**

The Minister of Public Safety is the designated head of the institution for the *Access to Information Act*. The Correctional Investigator has been delegated full authority under the *Access to Information Act* by the Minister. Full authority under the *Act* has also been delegated to the Access to Information and Privacy Coordinator. The delegation of authority to administer the *Act* was confirmed by the Minister of Public Safety and Emergency Preparedness on July 31, 2020 (see Appendix C).

While the responsibilities of the ATIP Coordinator are assigned to the Director and Legal Counsel, the actual processing of requests and any associated activities are generally carried out by a consultant. Given the limited number of requests, it is felt that this is the most cost-effective utilization of resources and delivery of these activities.

The ATIP Coordinator is accountable for the development, coordination and implementation of effective policies, guidelines, systems and procedures to ensure the responsibilities under the *Access to Information Act* are met and to enable appropriate processing and proper disclosure of information. The Coordinator is also responsible for related policies, systems and procedures emanating from the *Act*.

The main activities of the ATIP Coordinator include:

Processing requests under the Act;

- Developing and maintaining policies, procedures and guidelines to ensure the *Act* is respected;
- Promoting awareness of the *Act* to ensure the OCI's responsiveness to the obligations imposed on the government;
- Monitoring the OCI's compliance with the Act, regulations and relevant procedures and policies;
- Preparing annual reports to Parliament and other statutory reports, as well as other material that may be required by central agencies;
- Representing the OCI in dealings with the Treasury Board Secretariat, the Information Commissioner and other government agencies regarding the application of the Act as they relate to the OCI; and
- Supporting the OCI in meeting its commitments in relation to greater openness and transparency through proactive disclosure of information and the disclosure of information through informal avenues.

The OCI is not subject to any agreements under section 96 of the Access to Information Act.

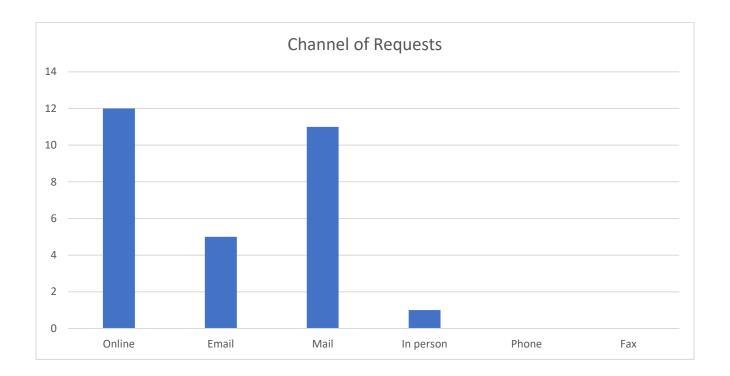
#### **DURING THE REPORTING PERIOD**

Thirty (30) requests were received and there were five (5) outstanding from the previous reporting period. Of these requests:

- Twenty-one (21) were disclosed in part (70%)
- Two (2) were released in its entirety (6%)
- None were exempted in their entirety
- One (1) was abandoned (3%)
- Five (5) had no existing records (16%)
- None were transferred
- Six (6) were carried forward to the next reporting period (20%)

Three (3) requests were outstanding from the 2020-2021 reporting period and were completed beyond the legislated timeline.

We have found that with an increase in interest in policy issues, online requests are the main source of requests. However, due to the majority of our requesters being incarcerated individuals, receiving requests by mail remains a leading way to receive requests for the Office.

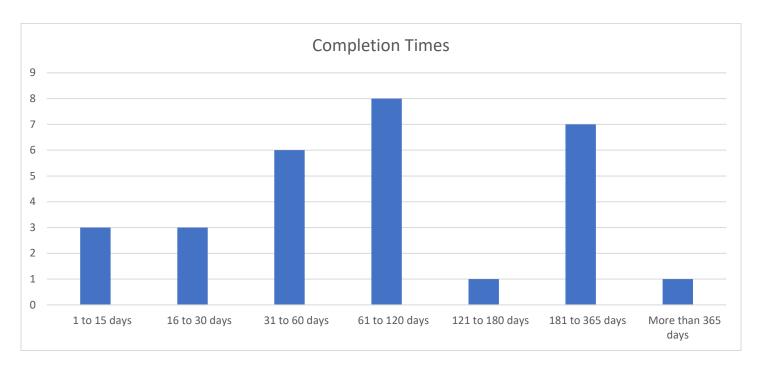


Extensions were taken for six (6) of the requests. Extensions were taken to consult with other government departments. In order to alleviate extensive delays when consulting with the Correctional Service of Canada, the Office and CSC have come to an agreement that consultations will only be done when absolutely necessary.

There was one (1) informal request received this year.

The Office could not meet the statutory response time for twenty (20) requests during the reporting period. In sixteen (16) of the twenty (20) requests, the delays were attributable to delays within our own office.

The OCI responded to 31% of requests within the legislated timelines. Although our office has been able to receive new requests both by mail and electronically, completing requests within legislated timelines presented challenges. The reasons for delay included a substantially higher number of pages responsive to the received requests, COVID-19 priorities within the institutions; limited access to the main office and to printed files and documents; and challenges related to the health of staff members. Since a majority of requesters are under custody and have no access to electronic communications, the administrative process of a number of requests require presence in the workplace, which was limited due to physical distancing measures.



Monitoring, by the ATIP Coordinator, of the time required to process these access requests was completed in preparing the historical trend analysis in this report. The Executive Director and the ATIP Coordinator are advised by way of a briefing note when requests are not closed within the required time limits. The briefing note advises of the reason for the late response.

No training sessions were provided during the reporting period. Advice, guidance and recommendations were provided by the consultant on an as required basis to Management and staff.

The new retrieval process implemented last year continues to be streamlined and improved, however, the move to a work-from-home environment continues to present challenges.

The OCI did not receive any complaints during the reporting period. One complaint from the 2019-2020 fiscal year remains active at the time of writing this report.

#### **COVID-19 RELATED MEASURES**

The OCI continues to function in a state of emergency along with the rest of the Federal Government. Our office transitioned well to a work-from-home environment however delays were experienced in implementing new procedures once we understood that the stay-at-home orders were going to be have a long-term impact.

As previously mentioned, the office has experienced some delays with response times during this period due to other ATIP offices not being able to respond to consultations on time as well as the office managing other state of emergency priorities.

#### COSTS

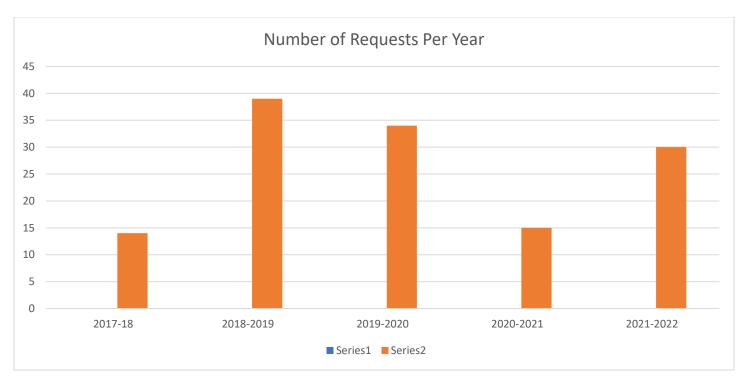
For 2021-22, the costs directly associated with the administration of the *Access to Information Act* are estimated at \$37,068.

Staff	\$ 8,511
Consultant fees	\$27,009
Other	\$ 1,548

The associated employee resources for 2021-22 are estimated at 0.322 FTE for administering the *Access to Information Act*.

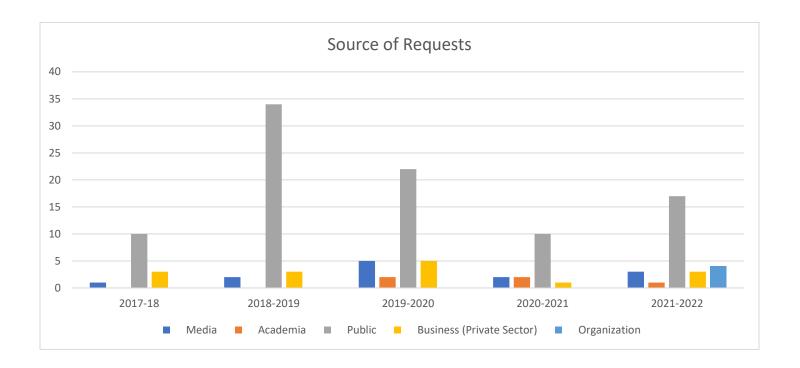
#### **FIVE-YEAR HISTORICAL TREND ANALYSIS**

Over a five-year period, from 2017-18 to 2021-22, the OCI received an average of twenty (20) requests annually.

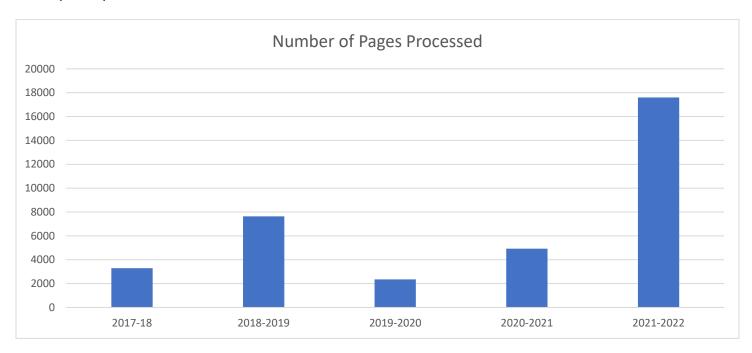


Over the last five years, the OCI has maintained a 41% completion rate of requests processed between 1-60 days.

The Source of the Requests remains the most often the Public with an average of fifteen (15) requests followed by Business and Media with an average of two (2) and two (2) requests respectively.



Concerning the number of pages processed, an average of seven thousand, one hundred and sixty-three (7,163) was recorded.

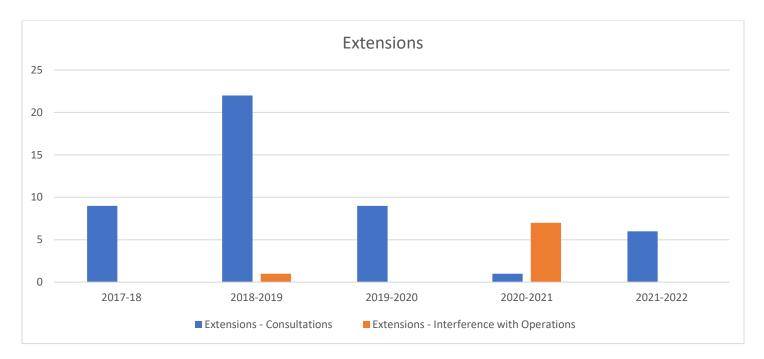


The most frequently used exemptions were:

- 19(1) at 52%
- 16(1)(d) at 17%
- 21(1)(b) at 8%

A total of forty-seven (47) extensions for consultations were recorded for an average of nine (9) extensions per reporting period. Because the majority of the records held by the Office were provided

by or of interest to the Correctional Service of Canada, the majority of our files require a consultation with the CSC ATIP office.



A total of thirty-five (35) consultations were received from other government organizations for an average of seven (7) consultations per reporting period. Consultations from other government organizations are always processed within seven (7) days with the exception of one (1) during this reporting period. The delay on the one (1) was due to the consultation not being provided to the ATIP office as soon as it was received at the OCI.

This baseline data will continue to be used in future years to assess trends, inform ongoing improvement in the processing of ATI requests and implementing corrective measures where necessary.

# APPENDIX A Delegation Order

#### Access to Information Act Delegation Order

#### Arrêté sur la délégation en vertu de la Loi sur l'accès à l'information

The Minister of Public Safety and Emergency Preparedness, pursuant to section 95 of the Access to Information Act, hereby designates the persons holding the positions set out in the schedule hereto to exercise the powers and perform the duties and functions of the Minister as head of a government institution, that is, the Office of the Correctional Investigator, under the sections of the Act set out in the schedule opposite each position.

En vertu de l'article 95 de la <u>Loi sur l'accès à l'information</u>, le Ministre de la Sécurité publique et de la Protection civile délègue aux titulaires des postes mentionnés à l'annexe ci-après les attributions dont il est, en qualité de responsable d'une institution fédérale, c'est à dire, Bureau de l'enquêteur correctionnel, investi par les articles de la Loi mentionnés en regard de chaque poste.

#### Schedule Annexe

Position

Sections of the Access to Information Act and

Regulations

Poste

Articles de la Loi sur l'accès à l'information et

Règlement

Correctional Investigator Enquêteur correctionnel Full Authority Autorité absolue

Executive Director Directrice exécutive

Full Authority Autorité absolue

Access to Information and Privacy Coordinator Coordonnateur, accès à l'information et protection des renseignements personnels Full Authority Autorité absolue

Dated at the City of Ottawa this 31 th day of

Daté en la ville d'Qttawa ce 31 ième jour de

UUY. , 2020.

The Honourable Bill Blair, P.C., M.P. / L'honorable Bill Blair, C.P., député

Minister of Public Safety and Emergency Preparedness / Ministre de la Sécurité publique et de la Protection civile

# APPENDIX B Statistical Report



# Statistical Report on the Access to Information Act

Name of institution:	Office of the Correctional Investigator					
Reporting period:	2021-04-01	to	2022-03-31			

# Section 1: Requests Under the Access to Information Act

#### 1.1 Number of requests

	Number of Requests	
Received during reporting period		30
Outstanding from previous reporting periods		5
Outstanding from previous reporting period	5	
Outstanding from more than one reporting period	0	
Total		35
Closed during reporting period		29
Carried over to next reporting period		6
Carried over within legislated timeline	3	
Carried over beyond legislated timeline		

#### 1.2 Sources of requests

Source	Number of Requests
Media	3
Academia	1
Business (private sector)	3
Organization	4
Public	17
Decline to Identify	2
Total	30

#### 1.3 Channels of requests

Source	Number of Requests
Online	15
E-mail	4
Mail	10
In person	1
Phone	0
Fax	0
Total	30

# Section 2: Informal Requests

# 2.1 Number of informal requests

		Number of Requests
Received during reporting period	1	
Outstanding from previous reporting periods		0
Outstanding from previous reporting period	0	
Outstanding from more than one reporting period		
Total		1
Closed during reporting period	1	
Carried over to next reporting period	0	

#### 2.2 Channels of informal requests

Source	Number of Requests
Online	0
E-mail	1
Mail	0
In person	0
Phone	0
Fax	0
Total	1

# 2.3 Completion time of informal requests

	Completion Time								
1 to 15									
1	0	0	0	0	0	0	1		

# 2.4 Pages released informally

Less Than 100 Pages Released		100-500 Pages Released		501-1000 Pages Released			-5000 Released	More Th Pages R	
Number of Requests	Pages Released	Number of Requests	Pages Released	Number of Requests	Pages Released	Number of Requests	Pages Released	Number of Requests	Pages Released
0	0	0	0	0	0	0	0	0	0

# 2.5 Pages re-released informally

Less Than 100 Pages Re-released		100-500 Pages Re-released		501-1000 Pages Re-released			-5000 e-released	More Th Pages Re-	
Number of Requests	Pages Re- released	Number of Requests	Pages Re- released	Number of Requests	Pages Re- released	Number of Requests	Pages Re- released	Number of Requests	Pages Re- released
0	0	1	423	0	0	0	0	0	0

# Section 3: Applications to the Information Commissioner on Declining to Act on Requests

	Number of Requests
Outstanding from previous reporting period	0
Sent during reporting period	0
Total	0
Approved by the Information Commissioner during reporting period	0
Declined by the Information Commissioner during reporting period	0
Withdrawn during reporting period	0
Carried over to next reporting period	0

# Section 4: Requests Closed During the Reporting Period

# 4.1 Disposition and completion time

		Completion Time						
Disposition of Requests	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
All disclosed	1	0	0	1	0	0	0	2
Disclosed in part	0	2	5	5	1	7	1	21
All exempted	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0
No records exist	2	1	1	1	0	0	0	5
Request transferred	0	0	0	0	0	0	0	0
Request abandoned	0	0	0	1	0	0	0	1
Neither confirmed nor denied	0	0	0	0	0	0	0	0
Information Commissioner	0	0	0	0	0	0	0	0
Total	3	3	6	8	1	7	1	29

#### 4.2 Exemptions

16(1)(d)

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
13(1)(a)	0	16(2)	0	18(a)	0	20.1	0
13(1)(b)	0	16(2)(a)	0	18(b)	0	20.2	0
13(1)(c)	0	16(2)(b)	0	18(c)	0	20.4	0
13(1)(d)	0	16(2)(c)	2	18(d)	0	21(1)(a)	2
13(1)(e)	0	16(3)	0	18.1(1)(a)	0	21(1)(b)	2
14	0	16.1(1)(a)	0	18.1(1)(b)	0	21(1)(c)	1
14(a)	0	16.1(1)(b)	0	18.1(1)(c)	0	21(1)(d)	2
14(b)	0	16.1(1)(c)	0	18.1(1)(d)	0	22	0
15(1)	0	16.1(1)(d)	0	19(1)	21	22.1(1)	0
15(1) - I.A.*	0	16.2(1)	0	20(1)(a)	0	23	1
15(1) - Def.*	0	16.3	0	20(1)(b)	0	23.1	0
15(1) - S.A.*	0	16.4(1)(a)	0	20(1)(b.1)	0	24(1)	0
16(1)(a)(i)	3	16.4(1)(b)	0	20(1)(c)	0	26	0
16(1)(a)(ii)	0	16.5	0	20(1)(d)	0		
16(1)(a)(iii)	1	16.6	0		•	-	
16(1)(b)	0	17	0	1			
16(1)(c)	6		•	-			

\*I.A.: International Affairs Def.: Defence of Canada S.A.: Subversive Activities

#### 4.3 Exclusions

Section	Number of Requests	Section	Number of Requests	Section	Number of Requests
68(a)	0	69(1)	0	69(1)(g) re (a)	0
68(b)	0	69(1)(a)	0	69(1)(g) re (b)	0
68(c)	0	69(1)(b)	0	69(1)(g) re (c)	0
68.1	0	69(1)(c)	0	69(1)(g) re (d)	0
68.2(a)	0	69(1)(d)	0	69(1)(g) re (e)	0
68.2(b)	0	69(1)(e)	0	69(1)(g) re (f)	0
		69(1)(f)	0	69.1(1)	0

#### 4.4 Format of information released

Paper	E-record	Data set	Video	Audio	Other
6	17	0	0	0	0

# 4.5 Complexity

#### 4.5.1 Relevant pages processed and disclosed for paper and e-record formats

Number of Pages Processed	Number of Pages Disclosed	Number of Requests
17,602	3489	24

#### 4.5.2 Relevant pages processed per request disposition for paper and e-record formats by size of requests

		han 100 rocessed		0-500 Processed		-1000 rocessed		-5000 rocessed		han 5000 Processed
Disposition	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed	Number of Requests	Pages Processed
All disclosed	1	43	1	423	0	0	0	0	0	0
Disclosed in part	7	239	8	1,621	3	2,212	2	3,934	1	9,120
All exempted	0	0	0	0	0	0	0	0	0	0
All excluded	0	0	0	0	0	0	0	0	0	0
Request abandoned	1	10	0	0	0	0	0	0	0	0
Neither confirmed nor denied	0	0	0	0	0	0	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0	0	0	0	0
Total	9	292	9	2,044	3	2,212	2	3,934	1	9,120

# 4.5.3 Relevant minutes processed and disclosed for audio formats

Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
0	0	0

4.5.4 Relevant minutes processed per request disposition for audio formats by size of requests

	Less Than 60 Minutes Processed		60 - 120 N	/linutes Processed	More than 120 Minutes Processed		
Disposition	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	
All disclosed	0	0	0	0	0	0	
Disclosed in part	0	0	0	0	0	0	
All exempted	0	0	0	0	0	0	
All excluded	0	0	0	0	0	0	
Request abandoned	0	0	0	0	0	0	
Neither confirmed nor denied	0	0	0	0	0	0	
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0	
Total	0	0	0	0	0	0	

#### 4.5.5 Relevant minutes processed and disclosed for video formats

Number of Minutes Processed	Number of Minutes Disclosed	Number of Requests
0	0	0

# 4.5.6 Relevant minutes processed per request disposition for video formats by size of requests

	Less Than 60 Minutes Processed		60 - 120 N	/linutes Processed	More than 120 Minutes Processed		
Disposition	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	Number of Requests	Minutes Processed	
All disclosed	0	0	0	0	0	0	
Disclosed in part	0	0	0	0	0	0	
All exempted	0	0	0	0	0	0	
All excluded	0	0	0	0	0	0	
Request abandoned	0	0	0	0	0	0	
Neither confirmed nor denied	0	0	0	0	0	0	
Declined to act with the approval of the Information Commissioner	0	0	0	0	0	0	
Total	0	0	0	0	0	0	

# 4.5.7 Other complexities

Disposition	Required	Legal Advice Sought	Other	Total
All disclosed	0	0	0	0
Disclosed in part	16	0	0	16
All exempted	0	0	0	0
All excluded	0	0	0	0
Request abandoned	1	0	0	1
Neither confirmed nor denied	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0
Total	17	0	0	17

# 4.6 Closed requests

# 4.6.1 Requests closed within legislated timelines

Number of requests closed within legislated timelines	9
Percentage of requests closed within legislated timelines (%)	31.03

#### 4.7 Deemed refusals

#### 4.7.1 Reasons for not meeting legislated timelines

	Principal Reason						
Number of requests closed past the legislated timelines	Interference with operations/ Workload	External Consultation	Internal Consultation	Other			
20	3	4	0	13			

#### 4.7.2 Requests closed beyond legislated timelines (including any extension taken)

Number of days past legislated timelines	Number of requests past legislated timeline where no extension was taken	Number of requests past legislated timeline where an extension was taken	Total
1 to 15 days	2	1	3
16 to 30 days	3	0	3
31 to 60 days	3	0	3
61 to 120 days	2	1	3
121 to 180 days	3	1	4
181 to 365 days	3	0	3
More than 365 days	1	0	1
Total	17	3	20

#### 4.8 Requests for translation

Translation Requests	Accepted	Refused	Total
English to French	0	0	0
French to English	0	0	0
Total	0	0	0

# Section 5: Extensions

#### 5.1 Reasons for extensions and disposition of requests

	9(1)(a)		1)(b) ultation	
Disposition of Requests Where an Extension Was Taken	Interference With	Section 69	Other	9(1)(c) Third-Party Notice
All disclosed	0	0	0	0
Disclosed in part	0	0	5	0
All exempted	0	0	0	0
All excluded	0	0	0	0
Request abandoned	0	0	1	0
No records exist	0	0	0	0
Declined to act with the approval of the Information Commissioner	0	0	0	0
Total	0	0	6	0

#### 5.2 Length of extensions

	9(1)(a)	9( Cons		
Length of Extensions	Interference With Operations/ Workload	Section 69	Other	9(1)(c) Third-Party Notice
30 days or less	0	0	1	0
31 to 60 days	0	0	3	0
61 to 120 days	0	0	2	0
121 to 180 days	0	0	0	0
181 to 365 days	0	0	0	0
365 days or more	0	0	0	0
Total	0	0	6	0

# Section 6: Fees

	Fee Collected		Fe	ee Waived	Fee Refunded		
Fee Type	Number of Requests	Amount	Number of Requests	Amount	Number of Requests	Amount	
Application	20	\$100.00	10	\$50.00	0	\$0.00	
Other fees	0	\$0.00	0	\$0.00	0	\$0.00	
Total	20	\$100.00	10	\$50.00	0	\$0.00	

# Section 7: Consultations Received From Other Institutions and Organizations

#### 7.1 Consultations received from other Government of Canada institutions and other organizations

Consultations	Canada Institutions	Review	Other Organizations	Review
Received during the reporting period	3	291	0	0
Outstanding from the previous reporting period	0	0	0	0
Total	3	291	0	0
Closed during the reporting period	3	291	0	0
Carried over within negotiated timelines	0	0	0	0
Carried over beyond negotiated timelines	0	0	0	0

#### 7.2 Recommendations and completion time for consultations received from other Government of Canada institutions

		Number of Days Required to Complete Consultation Requests							
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total	
Disclose entirely	2	1	0	0	0	0	0	3	
Disclose in part	0	0	0	0	0	0	0	0	
Exempt entirely	0	0	0	0	0	0	0	0	
Exclude entirely	0	0	0	0	0	0	0	0	
Consult other institution	0	0	0	0	0	0	0	0	
Other	0	0	0	0	0	0	0	0	
Total	2	1	0	0	0	0	0	3	

# 7.3 Recommendations and completion time for consultations received from other organizations outside the

		Number of Days Required to Complete Consultation Requests						
Recommendation	1 to 15 Days	16 to 30 Days	31 to 60 Days	61 to 120 Days	121 to 180 Days	181 to 365 Days	More Than 365 Days	Total
Disclose entirely	0	0	0	0	0	0	0	0
Disclose in part	0	0	0	0	0	0	0	0
Exempt entirely	0	0	0	0	0	0	0	0
Exclude entirely	0	0	0	0	0	0	0	0
Consult other institution	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0

# Section 8: Completion Time of Consultations on Cabinet Confidences

#### 8.1 Requests with Legal Services

		Than 100 rocessed		0 Pages essed		-1000 rocessed	1001- Pages Pi			han 5000 Processed
Number of Days	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

# 8.2 Requests with Privy Council Office

		Than 100 rocessed		0 Pages cessed		-1000 rocessed	1001- Pages Pr			han 5000 Processed
Number of Days	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed	Number of Requests	Pages Disclosed
1 to 15	0	0	0	0	0	0	0	0	0	0
16 to 30	0	0	0	0	0	0	0	0	0	0
31 to 60	0	0	0	0	0	0	0	0	0	0
61 to 120	0	0	0	0	0	0	0	0	0	0
121 to 180	0	0	0	0	0	0	0	0	0	0
181 to 365	0	0	0	0	0	0	0	0	0	0
More than 365	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0

# Section 9: Investigations and Reports of finding

#### 9.1 Investigations

Section 32 Notice of intention to investigate	Subsection 30(5) Ceased to investigate	Section 35 Formal Representations
0	0	0

# 9.2 Investigations and Reports of finding

Section 37(1) Initial Reports		Section 37(2) Final Reports			
Received	Containing recommendations issued by the Information Commissioner	Containing orders issued by the Information Commissioner	Received	Containing recommendations issued by the Information Commissioner	Containing orders issued by the Information Commissioner
0	0	0	0	0	0

# Section 10: Court Action

#### 10.1 Court actions on complaints

Section 41				
Complainant (1) Institution (2) Third Party (3) Privacy Commissioner (4) Total				
0	0	0	0	0

# 10.2 Court actions on third party notifications under paragraph 28(1)(b)

Section 44 - under paragraph 28(1)(b)
0

# Section 11: Resources Related to the Access to Information Act

#### 11.1 Allocated Costs

Expenditures	Amount	
Salaries		\$8,511
Overtime	\$0	
Goods and Services		\$28,557
Professional services contracts     \$27,009		
• Other \$1,548		
Total		\$37,068

#### 11.2 Human Resources

Resources	Person Years Dedicated to Access to Information Activities	
Full-time employees	0.057	
Part-time and casual employees	0.000	
Regional staff	0.000	
Consultants and agency personnel	0.265	
Students	0.000	
Total	0.322	

Note: Enter values to three decimal places.

# APPENDIX C Supplemental Statistical Report



#### Supplemental Statistical Report on the Access to Information Act and the Privacy Act

Name of institution:	Office of the Correctional In	vestigator	
Reporting period:	2021-04-01	to	2022-03-31

# Section 1: Capacity to Receive Requests under the Access to Information Act and the Privacy Act

Enter the number of weeks your institution was able to receive ATIP requests through the different channels.

	Number of Weeks
Able to receive requests by mail	48
Able to receive requests by email	52
Able to receive requests through the digital request service	52

# Section 2: Capacity to Process Records under the Access to Information Act and the Privacy Act

2.1 Enter the number of weeks your institution was able to process paper records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Paper Records	4	0	48	52
Protected B Paper Records	4	0	48	52
Secret and Top Secret Paper Records	4	48	0	52

2.2 Enter the number of weeks your institution was able to process electronic records in different classification levels.

	No Capacity	Partial Capacity	Full Capacity	Total
Unclassified Electronic Records	0	0	52	52
Protected B Electronic Records	0	0	52	52
Secret and Top Secret Electronic Records	0	52	0	52



# Section 3: Open Requests and Complaints Under the Access to Information Act

3.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2022	Open Requests that are Beyond Legislated Timelines as of March 31, 2022	Total
Received in 2021-2022	2	2	4
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016 or earlier	0	0	0
Total	2	2	4

Row 8, Col. 3 of Section 3.1 must equal Row 7, Col. 1 of Section 1.1 of the 2021-2022 Statistical Report on the *Access to Information Act* 

# 3.2 Enter the number of open complaints with the Information Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints
Received in 2021-2022	0
Received in 2020-2021	1
Received in 2019-2020	0
Received in 2018-2019	0
Received in 2017-2018	0
Received in 2016-2017	0
Received in 2015-2016 or earlier	0
Total	1

# Section 4: Open Requests and Complaints Under the Privacy Act

4.1 Enter the number of open requests that are outstanding from previous reporting periods.

Fiscal Year Open Requests Were Received	Open Requests that are <i>Within</i> Legislated Timelines as of March 31, 2022	Open Requests that are Beyond Legislated Timelines as of March 31, 2022	Total
Received in 2021-2022	1	1	2
Received in 2020-2021	0	0	0
Received in 2019-2020	0	0	0
Received in 2018-2019	0	0	0
Received in 2017-2018	0	0	0
Received in 2016-2017	0	0	0
Received in 2015-2016 or earlier	0	0	0
Total	1	1	2

Row 8, Col. 3 of Section 4.1 must equal Row 7, Col. 1 of Section 1.1 of the 2021-2022 Statistical Report on the *Privacy Act* 

4.2 Enter the number of open complaints with the Privacy Commissioner of Canada that are outstanding from previous reporting periods.

Fiscal Year Open Complaints Were Received by Institution	Number of Open Complaints
Received in 2021-2022	0
Received in 2020-2021	0
Received in 2019-2020	0
Received in 2018-2019	0
Received in 2017-2018	0
Received in 2016-2017	0
Received in 2015-2016 or earlier	0
Total	0

# Section 5: Social Insurance Number (SIN)

Did your institution receive authority for a new collection or new consistent use of the	
SIN in 2021-2022?	No